



2152  
2/24/03  
PB  
#4

#4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chris Ludwig et al. Group Art Unit: 2152  
Serial No.: 09/927,920 Examiner: Unknown  
Filed: August 10, 2001 Docket No.: 1017-015US01  
Title: PRODUCT IDENTITY PRESERVATION AND TRACING

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on Nov 26, 2001.  
By: Celia A. Roberts  
Name: Celia A. Roberts

RECEIVED  
DEC 04 2001  
Group 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant submits the reference listed on the attached form PTO-1449, a copy of which is enclosed.

This statement is being filed before the receipt of a first Office Action.

Respectfully submitted,

Date: November 24, 2001

Kent J. Sieffert  
By: Kent J. Sieffert  
Reg. No. 41,312

Shumaker & Sieffert, P.A.  
150 Gateway Corporate Center  
576 Bielenberg Drive  
St. Paul, Minnesota 55125  
Phone: (651) 735-1100  
Fax: (651) 735-1102

Form 1449\*

**INFORMATION DISCLOSURE  
STATEMENT  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number:

1017-015US01

Application Number:

09/927,920

Applicant:

Chris Ludwig et al.

Filing Date:

August 10, 2001

Group Art Unit:

2152

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
	2001/0011437 A1	08/09/01	Shortridge et al.	
	2001/0037182 A1	11/01/01	Hall et al.	
	6,270,736 B1	08/07/01	Barnes et al.	

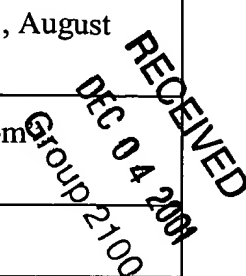
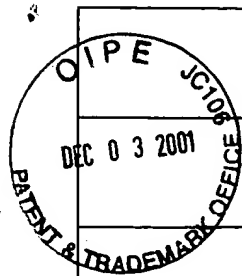
RECEIVED  
DEC 04 2001  
Group 2100

**FOREIGN PATENT DOCUMENTS**

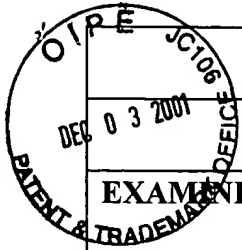
Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	WO 00/48454	08/24/00	PCT		
	WO 01/69403	09/20/01	PCT		
	WO 01/80118	10/25/01	PCT		

**OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)**

	1) "John Deere Partners with VantagePoint Network and CropVerifeye.com, LLC to Introduce the new CropTracer System" Agricultural Marketing Center, February 26, 2001
	2) "Ready...Set...ISO Iowa Farmers Achieve International Quality Certification" Farm Journal, Greg D. Horstmeier, January 2001
	3) "SmarTrace Unveiled as World's First Food Safety/E-Commerce System" CropVerifeye.com
	4) "Reserving Identity of Grain Requires Planning, Records" AgWeb, Kristin Danley-Greiner, December 28, 2000
	5) "Software Systems can Trace the Identity of Products You Produce (agricultural tracking systems) Dow Jones Interactive, Grand Mangold, February 1, 2001
	6) "Biotech's Next Harvest Aims to Win Consumers. (Genetically-modified crops and food) Dow Jones Interactive, Prepared Foods, June 1, 2001
	7) "AgMotion Adds Internet Traceability" Feedstuff, June 11, 2001



	8) "Food Traceability Report" <a href="http://www.foodtraceabilityreport.com">www.foodtraceabilityreport.com</a> , Vol. 1, No. 3, August 2001
	9) "Identity Preservation Software System Introduced by IdentityPreserved.com" IdentityPreserved.com, September 14, 2000
	10) IP Track, IdentityPreserved.com <a href="http://www.identitypreserved.com">http://www.identitypreserved.com</a>
	11) "Supply Chain Certification" GeneScan Usa Inc., <a href="http://www.genescan.com">http://www.genescan.com</a>
	12) "Purdue Researchers Unveil Program to Track Crop Traits" @griculture Online, December 28, 2000
	13) "Farmland Building on Dedicated Grains Program" by Lynn Groom, February 2001, Pgs. 4-5
	14) NC-213 Summer Workshop- Certifiable Quality Management Systems for the U. S. Grain Handling Industry, August 8,9,10, 2001
	15) "Purdue Researchers Unveil Program to Track Crop Traits" Purdue News, December 2000
	16) VantagePoint Network letter, by Scott Dyer, February 28, 2001
	17) "Report on Containment and Channeling Systems" National Corn Growers Association
	18) "Food Safety Issues Underscore Importance of Elevator's New ISO Status" Seeds & Crops Digest, December 2000 Pgs. 4-5
	19) DuPont Specialty Grain 2001 Contracting Programs Oscar® Process/Guidelines, July 2000
	20) The Non-GMO Source <a href="http://www.non-gmosource.com/Resources.html">http://www.non-gmosource.com/Resources.html</a>
	21) "Strategic Diagnostics Significantly Expands Market Reach for Its Trait Check Crop Test" Business Wire, November 29, 1999
	22) mPOWER3/EMERGE Reporting System
	23) "Dedicated grains eases the specialty grains gamble by capturing value through IP tracking, ecommerce" <a href="http://www.farmland.com/news/sysnews/archive/NOV2000/NovDecInternetIP.htm">http://www.farmland.com/news/sysnews/archive/NOV2000/NovDecInternetIP.htm</a>
	24) Colorado Sweet Gold LLC <a href="http://coloradosweetgold.com/about.htm">http://coloradosweetgold.com/about.htm</a>
	25) "Preserving Identity of Grain Requires Planning and Records" <a href="http://agstar.com/contents/needs_grain_identi.shtml">http://agstar.com/contents/needs_grain_identi.shtml</a>

26) e-markets <http://www.e-markets.com/docs/solutions.html>27) VantagePoint Network <http://vantagepoint.com>**EXAMINER****Date Considered**

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820  
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce

RECEIVED  
DEC 04 2001  
Group 2100